



V MATHRAN & CO PRIVATE LIMITED

SEBI Registration No.

INZ000200831 Dt. 17-09-2018

IN-DP-850-2026 Dt. 03-06-2026

NSE Member Code: **90163**

BSE Member Code: **6889**

NSDL DP ID: **IN305058**

www.vmathran.com

CIN

U67120WB1997PTC086082

Registered Office

204 Vaishno Chambers,

6 Brabourne Road, Kolkata-700001

(033) 4602-2696

info@vmathran.com

DEMAT ACCOUNT OPENING KIT INDIVIDUAL



CLIENT NAME _____

CLIENT CODE _____

A/c Opening Date: ____/____/____

ACCOUNT OPENING KIT

V Mathran & Co Private Limited is a SEBI-registered Stock Broker and Trading/Clearing Member of the National Stock Exchange of India Ltd. (NSE) and BSE Limited. The company is also a Depository Participant (DP) registered with NSDL, offering clients a seamless single-window for equity trading, derivatives trading, and depository services.

Management

Mr. Vishnu Kumar Mathran | +91 93397 03927 | vmathran@gmail.com

Mr. Pratik Mathran | +91 98308 69693 | pratik@vmathran.com

Mr. Akash Mathran | +91 98745 23451 | akash@vmathran.com

Regulatory & Grievance Contacts

Contact / Authority	Details
SEBI Registration	INZ000200831, IN-DP-850-2026
NSE Grievance	ignse@nse.co.in (022) 2659-8190
BSE Grievance	isc.mumbai@bseindia.com (022) 2272-8517
NSDL Grievance	relations@nsdl.com (022) 4886-7000
SEBI SCORES Portal	https://scores.sebi.gov.in 1800 22 7575
ODR Portal	https://smartodr.in/login
Investor Grievance (VMPL)	vmpl.investors@gmail.com (033) 4602-2696 Akash Mathran (Compliance Officer)

Proprietary Trading Disclosure

Pursuant to SEBI Circular No. SEBI/MRD/SE/Cir-42/2003 dated 19th November 2003, NSE Circular NSE/INVG/PRE/2003/16 and BSE Notice No. 20031125-7 dated 25th November 2003:

V Mathran & Co Private Limited is also engaged in proprietary trading on the Stock Exchanges. Clients' transactions and proprietary transactions are kept completely segregated.

SCORES — Filing Complaints Online

How to file a complaint on SCORES:

1. Register at <https://scores.sebi.gov.in> or Toll Free Helpline at 1800 22 7575, 1800 266 7575
2. Provide: Name, PAN, Address, Mobile Number, Email ID
3. Your complaint will be tracked and resolved within SEBI's prescribed timelines

For unresolved grievances, initiate Online Dispute Resolution (ODR) at: <https://smartodr.in/login>

[illegible]

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : DD - MM - YYYY Place:

Signature / Thumb Impression of Applicant

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification ☐ Digital KYC Process
☐ Equivalent e-document ☐ Video Based KYC

INSTITUTION DETAILS

[illegible]

Name **V MATHRAN & CO PRIVATE LIMITED**
Code **IN4218**

A Clarification / Guidelines on filling 'Personal Details' section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One of the following is mandatory : Mother's name, Spouse's name, Father's name.

B Clarification / Guidelines on filling 'Current Address details' section

- 1 In case of deemed PoA such as utility bill, etc. or self declaration, the document need not be uploaded on CKYCR
2 PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
3 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
5 In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
6 List of documents for 'Deemed Proof of Address':

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotted official accommodation.

- 7 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while
uploading on CKYCR.
- 8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including
documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by
Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- 10 REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address,
different from the address as per the identity information available in the Central Identities Data Repository

C Clarification / Guidelines on filling 'Contact details' section

1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add '0' in the beginning of Mobile number.

D Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person, if available.

E Clarification on Minor

- 2 However, in case guardian details are available for minor above 10 years of age, the same (or KYCR number of guardian) is to be uploaded.



CLIENT INFORMATION & DOCUMENT CHECKLIST

Documents Required — Individual

Document	Photo ID	Address Proof	Bank Details	Name Verified	Notes
PAN Card	✓	—	—	✓	Mandatory for all applicants
Aadhaar Card	✓	✓	—	✓	Masked Aadhaar preferred
Passport	✓	✓	—	✓	Mandatory for NRIs
Voter ID	✓	✓	—	✓	Valid only if not expired
Driving Licence	✓	✓	—	✓	Valid on date of application
Cancelled Cheque	—	—	✓	✓	Name & account no. should be printed
Bank Statement (3 months)	—	—	✓	✓	Stamped original or digital bank statement
Passport Photo	2 copies	—	—	✓	Recent, colour, white background

Income Proof — Required for Derivatives (F&O) Trading

Accepted Documents (any one): - Income Tax Return (ITR) — latest year - Form 16 / Salary Certificate - Net Worth Certificate from a CA 6-month bank statement (original, stamped) - Demat holding statement - Copy of Annual Accounts (for self-employed)	Key Conditions: - Proof must reflect declared income / net worth - All documents to be self-attested - Originals may be required for IPV - For NRIs: Overseas bank statement accepted IPV (In-Person Verification): - Can be done in-person at our office - Or via video-IPV through our mobile app
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Key Onboarding Notes

Nomination: Mandatory to opt-in or opt-out in writing. Both Trading and Demat accounts require separate nomination declarations.

DDPI: Demat Debit & Pledge Instruction replaces the old Power of Attorney (POA). Signing DDPI is optional but recommended for smooth settlement.

BSDA: Basic Services Demat Account — if your Demat holdings value is below ₹5,00,000, you may be eligible for BSDA with reduced AMC. Declare preference in the Demat form.

FATCA/CRS: All clients must declare tax residency status. NRIs and foreign nationals must complete the full FATCA/CRS annexure.

Self-Attestation: All document copies must be signed by the applicant with the words 'Self-Attested' and date. Originals must be produced for verification.

MANDATORY

DEMAT ACCOUNT OPENING FORM (FOR INDIVIDUALS)

V MATHRAN & CO PRIVATE LIMITED 204 VAISHNO CHAMBERS, 6 BRABOURNE ROAD KOLKATA 700001 DP ID IN305058					Client –ID (To be filled by Participant)															
I/We request you to open a depository account in my/our name as per the following details: <i>(Please fill all the details in CAPITAL LETTERS only)</i>										Date		D	D	M	M	Y	Y	Y	Y	
A) Details of Account holder(s):																				
Account holder(s)		Sole/ First Holder				Second Holder				Third Holder										
Name																				
PAN																				
Occupation <i>(please tick any one and give brief details)</i>		☐ Private Sector		☐ Agriculturist		☐ Private Sector		☐ Agriculturist		☐ Private Sector		☐ Agriculturist								
		☐ Public Sector		☐ Retired		☐ Public Sector		☐ Retired		☐ Public Sector		☐ Retired								
		☐ Government Service		☐ Housewife		☐ Government Service		☐ Housewife		☐ Government Service		☐ Housewife								
		☐ Business		☐ Student		☐ Business		☐ Student		☐ Business		☐ Student								
		☐ Professional		☐ Others (Please specify; _____)		☐ Professional		☐ Others (Please specify; _____)		☐ Professional		☐ Others (Please specify; _____)								
Brief details:																				
B) For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:																				
a) Name								b) PAN												
C) Type of account																				
☐ Ordinary Resident		☐ NRI-Repatriable				☐ NRI-Non Repatriable														
☐ Qualified Foreign Investor		☐ Foreign National				☐ Promoter														
☐ Margin		☐ Others (Please specify) _____																		
D) Gross Annual Income Details																				
Income Range per annum (please tick any one) (Rs.)																				
☐ Below 1 lac				☐ 1- 5 lac				☐ 5- 10 lac												
☐ 10- 25 lac				☐ More than 25 lac																
E) In case of NRIs/ Foreign Nationals																				
RBI Approval Reference Number																				
RBI Approval date										D	D	M	M	Y	Y	Y	Y			
F) Bank details																				
1		Bank account type ☐ Savings Account ☐ Current Account ☐ Others (Please specify) _____																		
2		Bank Account Number																		
3		Bank Name																		

MANDATORY

	4	Branch Address													
			City/town/village		PIN Code										
			State		Country										
	5	MICR Code													
6	IFSC														
G)	Please tick, if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)														
H)	Standing Instructions														
	1	I/We authorise you to receive credits automatically into my/our account.										☐ Yes ☐ No			
	2a	Account to be operated through Demat Debit and Pledge Instruction (DDPI)										☐ Yes ☐ No			
	2b	Standing Instruction indicator for Auto-Pledge confirmation										☐ YES ☐ NO			
	3	SMS Alert facility: [Mandatory if you are giving Power of Attorney (POA/DDPI). Ensure that the mobile number is provided in the KYC Application Form]													
		Sr. No.	Holder								Yes	No			
		1	Sole/First Holder								☐	☐			
		2	Second Holder								☐	☐			
		3	Third Holder								☐	☐			
	4	Mode of receiving Statement of Account [Tick any one]		☐ Physical Form ☐ Electronic Form [Read Note 3 and ensure that email ID is provided in KYC Application Form].											
	5	For Joint accounts, communication to be sent to (See Note 5)		☐ First holder ☐ All joint account holders											
I)	Guardian Details (where sole holder is a minor): [For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]														
	Guardian Name														
	PAN														
	Relationship of guardian with minor														
J)	Nomination Option														
	☐ I/We wish to make a nomination. [Details are provided in Nomination Form as prescribed by SEBI]										☐ I/We wish to opt out of a nomination. [Declaration Form opting out of nomination as prescribed by SEBI]				
K)	Mode of Operations for Joint Accounts														
	☐ Jointly ☐ Anyone of the holder or survivor(s)														
	If Mode of Operation for Joint Account is chosen as anyone of the holder or survivor(s), only specified operations such as transfer of securities including Inter-Depository Transfer, pledge / hypothecation / margin pledge / margin re-pledge (creation, closure and invocation and confirmation thereof as applicable) of securities and freeze/unfreeze of account and / or securities and / or specific number of securities will be permitted.														

MANDATORY

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Name(s) of holder(s)		Signature(s) of holder
Sole/ First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.)		✓
Second Holder (Mr./Ms.)		✓
Third Holder (Mr./Ms.)		✓

Notes :

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions must be attested by witness or a Magistrate or a Notary Public or a Special Executive Magistrate.
3. Signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
4. The nomination and Declaration form may be signed using e-Sign facility.
5. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
6. ²⁴⁵In case of joint account, on death of any of the joint account holders, the surviving account holder(s) has to inform Participant about the death of account holder(s) with required documents for deletion of name of the deceased account holder(s) in the demat account .
7. In case if 'first holder' is selected, the communication will be sent as per the preference mentioned at Sr. No. 4. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. 4 and communication to other holders will be in electronic mode. The default option will be communication to 'first holder', if no option selected.
8. ²⁴⁶In case of joint account, the option to select 'Choice of Nomination' i.e. I/We wish to make a nomination or I/We wish to opt out of a nomination is not mandatory.

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Acknowledgement

V MATHRAN & CO PRIVATE LIMITED
DP ID IN305058

Received the application from Mr/Ms _____ as the sole/first holder alongwith _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date:

MANDATORY

Nomination Form

V Mathran & Co Private Limited 204 Vaishno Chambers, 6 Brabourne Road, Kolkata - 700 001														FORM FOR NOMINATION (To be filled in by individual applying singly or jointly)																								
Date	D	D	M	M	Y	Y	Y	Y	DP ID										Client ID																			
UCC		I/We wish to make a nomination. [As per details given below]																																				
Nomination Details																																						
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																																						
Nomination can be made upto three nominees in the account														Details of 1st Nominee							Details of 2nd Nominee							Details of 3rd Nominee										
1 Name of the Nominee(s) (Mr./Ms.)																																						
2 Share of each Nominee Equally [If not equally, please specify percentage]														%							%							%										
3 Relationship with the Applicant (if any)																																						
4 Address of Nominee(s) City / Place State & Country																																						
PIN Code																																						
5 Mobile / Telephone No. of Nominee(s) #																																						
6 Email ID of Nominee(s) #																																						
7 Nominee Identification # Details [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank, A/c No. ☐ Proof of Identity ☐ Demat Account ID																																						
Sr. Nos. 8-14 should be filled only if Nominee(s) is a minor																																						
8 Date of Birth {in case of minor nominee(s)}																																						
9 Name of Guardian <i>{in case of minor nominee(s)}</i>																																						

Signature of the Client: ✓ _____
First Holder

✓ _____
Second Holder

✓ _____
Third Holder

MANDATORY

10 Address of Guardian(s)			
City / Place /State, Country			
PIN Code			
11 Mobile / Telephone No. of Guardian #			
12 Email ID of Guardian #			
13 Relationship of Guardian with Nominee			
14 Guardian Identification Details <i>[Please tick any one of following and provide details of same]</i> ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank A/c No. ☐ Proof of Identity ☐ Demat Account ID			

	Name(s) of holder(s)	Signature(s) of holder*
Sole / First Holder (Mr./Ms.)		✓
Second Holder (Mr./Ms.)		✓
Third Holder (Mr./Ms.)		✓
Witness Signature _____ Name _____ Address _____		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature. # Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory).
Notes: This nomination shall supersede any prior nomination made by the account holder(s), if any. The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

DECLARATION FORM FOR OPTING OUT OF NOMINATION

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

✓ _____
Signature of Client/ (all) Authorized Signatory (ies)

Witness:

MANDATORY

INTRODUCER DETAILS (optional)	
Name of the Introducer	
Status of the Introducer	☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Others, please specify
Address and Phone No. of the Introducer	
Signature of the Introducer	✓

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place: _____

Date: _____

✓ _____
Signature of Client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____ Demat Beneficiary ID _____

	Documents verified with Originals	Client Interviewed By
Name of the Employee		
Employee Code		
Designation of the employee		
Date		
Signature		

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non- mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorized Signatory _____

Date:

Seal/Stamp of the stock broker

TARIFF SHEET

DP CHARGES - NSDL	
PARAMETER	CHARGES
Account Opening	Nil
Annual Maintenance Charges: For Individual For Corporate For BSDA Clients	Rs. 500/- Rs. 1500/- For Holding Value Under 4Lakhs: Nil For Holding Value 4LAC to 10 Lakhs ₹100/-
Transaction Charges: Market Delivery Off- Market / Inter Depository Delivery	Rs. 25/- Rs. 35/- or 0.035% (whichever is higher)
Conversion of MF units represented by SOA in Demat	Rs. 100/- per instruction
Reconversion of MF units into SOA	Rs. 100/- per instruction
Redemption of MF units	Rs. 25/- per instruction
Margin Pledge Creation	Rs. 10/- per instruction
Margin Re- Pledge	Rs. 10/- per instruction
Margin Pledge Release	Rs. 10/- per instruction
Margin Pledge Invocation CM/TM	Rs. 10/- per instruction
Dematerialisation	Rs. 10/- per certificate [Min. Rs. 100/- per request] Plus courier charges Rs. 50/-
Rematerialisation	Rs. 15/- per 100 Quantity or a flat fee of Rs. 15/- per certificate (whichever is higher)
Pledge Creation	Rs. 50/-
Pledge Invocation	Rs. 50/-
Pledge Closure	Rs. 25/-
Client Master Modification	Rs. 50/- [Plus courier charges Rs. 50/-]
Clients Account Freeze/Unfreeze	Rs. 100/- [Plus courier charges Rs. 50/-]

Important Notes:

- A Settlement Fee @ Rs. 4/- per Debit instruction in a Client Account shall be charged to the Participant of the Client
- For **BSDA clients**, transaction charges will be applicable same as regular clients category
- All DP charges above are inclusive of NSDL charges. They are indicative and subject to NSDL circulars and revisions.
- All charges subject to applicable GST @18% unless stated otherwise. Updated available at www.vmathran.com
- Brokerage rates are as agreed at the time of account opening. Any changes will be communicated 30 days in advance.
- **Penalty on non-maintenance of minimum 50% Cash:Collateral ratio – upto 18% p.a. on shortfall of Cash Component**

✓ _____
Signature Of Client

COMMUNICATION & DIGITAL CONSENT FORM

Client Name: _____

Client Code (UCC): _____

Email ID: _____

Mobile Number: _____

I/We hereby authorize V Mathran & Co Private Limited ("VMPL") to communicate with me/us through the contact details provided above. I/We consent to receive:

- ☐ Holding, Transaction & Ledger Statements
- ☐ Regulatory Alerts and Exchange Communications
- ☐ Corporate Announcements and Product Information
- ☐ SMS Alerts
- ☐ WhatsApp Communications
- ☐ Email Communications

I/We confirm that:

1. Above email address and mobile number belong to me/us or have been validly authorized by me/us.
2. I/We shall promptly inform VMPL of any change in the above contact details.
3. Communications sent to the registered email address and/or mobile number shall be deemed delivered.
4. VMPL shall not be responsible for non-delivery arising from network issues, inactive accounts, mailbox limitations, service interruptions or circumstances beyond its control.
5. All investment and trading decisions shall be taken by me/us independently after considering my/our financial situation, risk profile and investment objectives.
6. This consent shall remain valid until revoked by me/us through written communication.

✓ _____

Signature Of Client

DECLARATION IN CASE OF SAME MOBILE NUMBER AND / OR EMAIL ID FOR DIFFERENT CLIENTS

Client ID		Date	
Name of account Holder			
☐ Mobile Number			
☐ Email ID			
I hereby declare that the aforesaid mobile number or E-mail ID belongs to ☐ Me or ☐ My family (spouse, dependent children and dependent parents).			
Signature of account holder	✓		
Name of account Holder			

**Voluntary information provided by client in relation to the
Prevention of Money Laundering Act, 2002**

Name of the Client : _____

If Business / Profession: Nature of business: _____

Details of my/our Relatives, having account with **V Mathran & Co Private Limited:**

Name	Relationship	UCC (Client Code)
1.		
2.		

Details of the Corporate / Partnership Firm / Trust etc. where I/We am/are affiliated

Name	Entity Type	Nature of Business	Relationship	UCC (Client Code)
1.				
2.				

I/We hereby submit and agree to submit every year any one of the following documents to V Mathran & Co Private Limited, before the due date as prescribed by V Mathran & Co Private Limited:

- Profit and Loss Account & Capital Account
- Balance Sheet
- Self-attested copy of Income Tax Return (If return not available, I/we will furnish Form 16)
- Copy of Form 16 in case of Salary Income
- Any other document providing financial details of the client

☐ I/We hereby declare that I/We do not fall under Clients of Special Category as defined in Prevention of Money Laundering Act 2002, OR

☐ I/We hereby declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act, 2002 (choose the relevant category as under)

☐ Non Resident Client, ☐ High Net-worth Clients, ☐ Trust, Charities, Non- Governmental Organisations (NGOs) and organizations receiving donations, ☐ Companies having close family shareholdings or beneficial ownership,

☐ Politically Exposed Persons, ☐ Companies Offering foreign exchange offerings, ☐ Clients in high risk countries where existence/ effectiveness of money laundering controls is suspect, ☐ Non face to face clients, ☐ Clients with dubious reputation as per public information available etc.

I/We confirm that I/We will immediately inform V Mathran & Co Private Limited in case I/We am/are convicted under any grounds or any action is taken against me/us by any authority(ies).

I/We intend to invest in the stock market with: ☐ Own Funds ☐ Borrowed Funds

(If Borrowed Funds, then please specify below Sources of funds :)

Sources of Borrowed Funds (if any)	Amount (₹)

(Certificated / Opinion Report from the Banker / Financial Institution confirming that there has been no default in the client's account is to be attached, which I/We agree to attach herewith.)

I/We hereby declare that I/We am/are beneficial owner of the Trading / On-line account opened with V Mathran & Co Private Limited, and that I/We am/are investing my/our own funds with V Mathran & Co Private Limited.

✓

Client Signature

Client's Name

FOR OFFICE USE ONLY

Risk categorization of client as per PMLA, 2002: ☐ High Risk ☐ Medium Risk ☐ Low Risk

FATCA/CRS DECLARATION FORM - FOR INDIVIDUAL

Applicant Name _____

PART I - Please fill in the country for each of the following:

1. Country of:
 - a) Birth _____ b) Citizenship _____
 - c) Residence for Tax Purposes _____
2. US Person* : ☐ Yes ☐ No

PART II - Please note:

- a. If in all fields above, the country mentioned by you is India and if you do not have US person status, please proceed to Part III for signature.
- b. If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent** as issued in the specific country in the table below :

i) TIN _____	Country of Issue _____
ii) TIN _____	Country of Issue _____
iii) TIN _____	Country of Issue _____
- a. In case any of the parameters in Part I indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Taxpayer Identification Numbers/functional equivalent, please complete and sign the Self-Certification section given in **Part IV**.
- b. In case you are declaring US person status as '**No**' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate

Please also fill **Part IV** Self-Certification.

PART III - Customer Declaration (Applicable for all customers)

- (i) Under penalty of perjury, I/we certify that:
 1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person)**
 2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. **(This clause is applicable only if the account holder is a tax resident outside of India)**
- (ii) I/We understand that V Mathran & Co Private Limited is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. V Mathran & Co Private Limited is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
- (iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- (iv) I/We agree that as may be required by domestic regulators/tax authorities V Mathran & Co Private Limited may also be required to report, reportable details to CDBT or close or suspend my account.
- (v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

PART IV - Self-Certification:

To be filled only if-

- (a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or
- (b) US person is mentioned as Yes in Part I, and TIN is not available

I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.

✓

Signature

Document Proof submitted (Please tick document being submitted)

☒ Passport ☐ Election Id Card ☐ PAN Card ☐ Driving License ☐ UIDAI Letter ☐ NREGA Job Card ☐ Govt. Issued ID Card

*** U.S. Person** means,

- (a) an individual, being a citizen or resident of the United States of America;
- (b) a partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;
- (c) a trust if, -
 - (i) a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust; and
 - (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust; or
- (d) an estate of a decedent who was a citizen or resident of the United States of America;

**** Functional Equivalent of TIN**

Many countries do not issue TIN to their taxpayers. However, such countries issue some other high integrity number with an equivalent level of identification (a functional equivalent). Examples of such numbers are-

- Social Security Number
- National Insurance Number
- Citizen Or Personal Identification Code Or Number
- Resident Registration Number

✓

Signature: _____

Name: _____ **Date (DD/MM/YYYY):** _____

EDIS / SPEED-E AUTHORIZATION ACKNOWLEDGEMENT

To

V Mathran & Co Private Limited,

I/We have been informed about the EDIS (Electronic Delivery Instruction System) and Speed-e facility offered by NSDL through V Mathran & Co Private Limited. I/We understand that:

- Each debit transaction through EDIS requires my/our TPIN authorisation.
- I/We should NOT share TPIN with anyone, including V Mathran staff.
- For details, refer to Section 5 (Demat Form) and www.nsdl.co.in

☐ I/We have read and understood the EDIS framework and agree to its terms.

✓ _____
Signature Of Client

OPTION FORM FOR ISSUE OF DIS BOOKLET

To

V Mathran & Co Private Limited

DP ID IN305058

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

☐ **OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our NSDL account though I / we have issued a DDPI / registered for eDIS / executed PMS agreement in favour of / with _____ (name of the DDPI holder / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such DDPI holder / Clearing Member / by PMS manager for executing delivery instructions through e-DIS.

OR

☐ **OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a DDPI / registered for eDIS / executed PMS agreement in favour of / with _____ (name of DDPI holder / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such DDPI holder / Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

Signature of the Client: ✓ _____ ✓ _____ ✓ _____
 First Holder **Second Holder** **Third Holder**

MUTUAL FUND SERVICES REGISTRATION FORM

To,

V Mathran & Co Private Limited

I/We am/are registered clients of V Mathran & Co Private Limited and request activation of the Mutual Fund Services Facility through the Exchange platforms, including BSE StAR MF and NSE MF Invest.

I/We authorize V Mathran & Co Private Limited to use the KYC and client information already submitted for my/our trading account for the purpose of registration under the Mutual Fund Services Facility.

I/We hereby declare and agree that:

1. I/We wish to invest in Mutual Fund schemes through BSE StAR MF and/or NSE MF Invest.
2. The KYC and other information submitted by me/us remains true, complete and unchanged as on the date of this declaration.
3. I/We shall read and understand the Scheme Information Document (SID), Key Information Memorandum (KIM), Scheme Related Documents and all applicable disclosures before investing in any Mutual Fund scheme.
4. I/We understand that Mutual Fund investments are subject to market risks and that all investment decisions shall be made solely by me/us.
5. I/We agree to comply with the rules, regulations, circulars and guidelines issued by SEBI, AMFI, BSE, NSE and other applicable regulatory authorities from time to time.
6. I/We shall promptly notify V Mathran & Co Private Limited of any change in my/our KYC information.
7. I/We understand that V Mathran & Co Private Limited acts only as a facilitator/distributor and shall not be responsible for the performance of any Mutual Fund scheme.

I/We therefore request V Mathran & Co Private Limited to enable Mutual Fund transaction facilities in my/our account.

✓ _____

Signature Of Client

ADDITION OF AADHAAR DETAILS

I/We do hereby solemnly declare that the detail herein above submitted by me/us is/are true to my/our knowledge.

I/We voluntarily give my/our consent to 'V Mathran & Co Private Limited to use my/our Aadhaar Details to authenticate from UIDAI and link the Aadhaar Number to all my/our existing/new accounts with your DP.

	NAME	AADHAAR
Sole/First Holder		
Second Holder		
Third Holder		

Signature of the Client: ✓ _____
First Holder

✓ _____
Second Holder

✓ _____
Third Holder

CLIENT ACKNOWLEDGEMENT OF DOCUMENTS RECEIVED

To

V Mathran & Co Private Limited

204 Vaishno Chambers

6 Brabourne Road

Kolkata – 700001

Subject: Acknowledgement of Receipt of Account Opening Documents

I/We hereby acknowledge and confirm that:

☐ I/We have received a copy of the duly completed and executed Account Opening Form, including my/our Trading Account Code (UCC) and Demat Account Client ID.

☐ I/We have received, read and understood the following documents, either in physical or electronic form:

- Rights & Obligations Document
- Risk Disclosure Document (RDD)
- Guidance Note
- Policies & Procedures
- Most Important Terms & Conditions (MITC)
- Policy on Voluntary Freezing / Blocking of Trading Account

☐ I/We confirm that the email address and mobile number registered with V Mathran & Co Private Limited are correct and shall be used for regulatory communications, contract notes, statements and alerts.

☐ I/We understand that the above documents are also available on the company's website and may be updated from time to time in accordance with applicable regulatory requirements.

☐ I/We agree to promptly inform V Mathran & Co Private Limited of any change in my/our contact details or other account information.

Client Details

Particulars	Details
Client Name	_____
Trading Account Code (UCC)	_____
Demat Client ID	_____

Client Signature

Signature: ✓ _____

Name: _____

Date: _____