



V MATHRAN & CO PRIVATE LIMITED

SEBI Registration No.

INZ000200831 Dt. 17-09-2018

IN-DP-850-2026 Dt. 03-06-2026

NSE Member Code: **90163**

BSE Member Code: **6889**

NSDL DP ID: **IN305058**

www.vmathran.com

CIN

U67120WB1997PTC086082

Registered Office

204 Vaishno Chambers,

6 Brabourne Road, Kolkata-700001

(033) 4602-2696

info@vmathran.com

TRADING & DEMAT ACCOUNT OPENING KIT NON-INDIVIDUAL



CLIENT NAME _____

CLIENT CODE _____

A/c Opening Date: ____/____/____

ACCOUNT OPENING KIT

V Mathran & Co Private Limited is a SEBI-registered Stock Broker and Trading/Clearing Member of the National Stock Exchange of India Ltd. (NSE) and BSE Limited. The company is also a Depository Participant (DP) registered with NSDL, offering clients a seamless single-window for equity trading, derivatives trading, and depository services.

Management

Mr. Vishnu Kumar Mathran | +91 93397 03927 | vmathran@gmail.com

Mr. Pratik Mathran | +91 98308 69693 | pratik@vmathran.com

Mr. Akash Mathran | +91 98745 23451 | akash@vmathran.com

Regulatory & Grievance Contacts

Contact / Authority	Details
SEBI Registration	INZ000200831, IN-DP-850-2026
NSE Grievance	ignse@nse.co.in (022) 2659-8190
BSE Grievance	isc.mumbai@bseindia.com (022) 2272-8517
NSDL Grievance	relations@nsdl.com (022) 4886-7000
SEBI SCORES Portal	https://scores.sebi.gov.in 1800 22 7575
ODR Portal	https://smartodr.in/login
Investor Grievance (VMPL)	vmpl.investors@gmail.com (033) 4602-2696 Akash Mathran (Compliance Officer)

Proprietary Trading Disclosure

Pursuant to SEBI Circular No. SEBI/MRD/SE/Cir-42/2003 dated 19th November 2003, NSE Circular NSE/INVG/PRE/2003/16 and BSE Notice No. 20031125-7 dated 25th November 2003:

V Mathran & Co Private Limited is also engaged in proprietary trading on the Stock Exchanges. Clients' transactions and proprietary transactions are kept completely segregated.

SCORES — Filing Complaints Online

How to file a complaint on SCORES:

1. Register at <https://scores.sebi.gov.in> or Toll Free Helpline at 1800 22 7575, 1800 266 7575
2. Provide: Name, PAN, Address, Mobile Number, Email ID
3. Your complaint will be tracked and resolved within SEBI's prescribed timelines

For unresolved grievances, initiate Online Dispute Resolution (ODR) at: <https://smartodr.in/login>

**Important Instructions:**

- A) Fields marked with '*' are mandatory fields.
 B) Tick '✓' wherever applicable.
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of applicant is mandatory for update application.
 F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) Please read section wise detailed guidelines / instructions at the end.
 I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.

For office use only Application Type* ☐ New ☐ Update

(To be filled by financial institution) KYC Number (Mandatory for KYC update request)

☐ **1. ENTITY DETAILS*** (Please refer instruction A at the end)

☐ Name*

Entity Constitution Type* ☐ Others (Specify) (Please refer instruction B at the end)

Date of Incorporation / Formation* DD - MM - YY YY Date of Commencement of Business DD - MM - YY YY

Place of Incorporation / Formation* Country of Incorporation / Formation* TIN or Equivalent Issuing Country

PAN* ☐ Form 60 furnished

TIN / GST Registration Number

☐ **2. PROOF OF IDENTITY (Pol)*** (Please refer instruction B at the end)

☐ Officially valid document(s) in respect of person authorised to transact

☐ Certificate of Incorporation / Formation ☐ Registration Certificate Regn Certificate No.

☐ Memorandum and Articles of Association ☐ Partnership Deed ☐ Trust Deed

☐ Resolution of Board / Managing Committee ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf

☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only)

☐ **3. ADDRESS*** (Please see instruction C at the end)

3.1 Registered Office Address / Place of Business*

Proof of Address* ☐ Certificate of Incorporation / Formation ☐ Registration Certificate ☐ Other Document

Line 1*

Line 2

Line 3 City / Town / Village*

District* PIN / Post Code* State / U.T Code* ISO 3166 Country Code*

3.2 Local Address in India (If different from Above)*

Line 1*

Line 2

Line 3 City / Town / Village*

District* PIN / Post Code* State / U.T Code* ISO 3166 Country Code*

☐ **4. CONTACT DETAILS** (All communications will be sent to Mobile number/ Email-ID provided* may be used) (Please refer instruction D at the end)

Tel. (Off) - FAX -

Mobile - Email ID

Mobile - Email ID

☐ **5. NUMBER OF RELATED PERSONS** (Please refer instruction E at the end)

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

Important Instructions:

- A) Fields marked with "*" are mandatory fields.
 B) Tick '✓' wherever applicable.
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of applicant is mandatory for update application.
 F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) Please read section wise detailed guidelines / instructions at the end.
 I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated



For office use only Application Type* ☐ New ☐ Update ☐ Delete
 (To be filled by financial institution) KYC Number (Mandatory for KYC update and delete request)

1. DETAILS OF RELATED PERSON* (Please refer instruction E at the end)

☐ Addition of Related Person ☐ Deletion of Related Person ☐ Update Related Person Details
 KYC Number of Related Person (if available*) If KYC number is available, only 'Related Person Type' & 'Name' is mandatory
Related Person Type* ☐ Director ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official ☐ Proprietor
☐ Beneficiary ☐ Authorised Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder ☐ Other (Please specify)
 DIN (Director Identification Number) (Mandatory if Related Person Type is Director)

1.1 PERSONAL DETAILS (Please refer instruction E at the end)

Prefix First Name Middle Name Last Name
 Name* (Same as ID proof)
 Maiden Name
 Father / Spouse Name
 Mother Name
 Date of Birth*
 Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender
 Nationality* ☐ IN- Indian ☐ Others (ISO 3166 Country Code)
 PAN* ☐ Form 60 furnished

1.2 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B- Voter ID Card
☐ C- Driving Licence
☐ D-NREGA Job Card
☐ E- National Population Register Letter
☐ F - Proof of Possession of Aadhaar
 II ☐ E-KYC Authentication
 III ☐ Offline verification of Aadhaar

☐ PHOTO*



Address

Line 1*
 Line 2
 Line 3
 District* Pin / Post Code* City / Town / Village*
 State / U.T Code* ISO 3166 Country Code*

☐ 1.3. CURRENT ADDRESS DETAILS (Please refer instruction E and the end)

☐ Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B- Voter ID Card
☐ C- Driving Licence
☐ D-NREGA Job Card
☐ E- National Population Register Letter
☐ F - Proof of Possession of Aadhaar
 II ☐ E-KYC Authentication
 II ☐ Offline verification of Aadhaar

- IV ☐ Deemed PoA
 V ☐ Self Declaration

Address

Line 1*																									
Line 2																									
Line 3																City / Town / Village*									
District*						Pin / Post Code*										State / U.T Code*				ISO 3166 Country Code*					

1. 4 CONTACT DETAILS (All communication will be sent on provided mobile no. / Email-ID) (Please refer instruction D at the end)

Tel. (Off)						Tel. (Res)										Mobile						
Email ID																						

2. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : - - Place:

Signature /Thumb Impression of Applicant

3. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification
☐ Digital KYC process ☐ Equivalent e-document

IPV & KYC VERIFICATION CARRIED OUT BY

Date										
Emp. Name										
Emp. Code										
Emp. Designation										
Emp. Branch										

INSTITUTION DETAILS

Name **V MATHRAN & CO PRIVATE LIMITED**
 Code **IN4218**

[Employee Signature]

[Institution Stamp]

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Related Person

Important Instructions:

- A) Fields marked with "*" are mandatory fields.
 B) Tick "✓" wherever applicable.
 C) Please fill the date in DD-MM-YYYY format.
 D) Please fill the form in English and in BLOCK letters.
 E) KYC number of applicant is mandatory for update application.
 F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
 G) List of two character ISO 3166 country codes is available at the end.
 H) Please read section wise detailed guidelines / instructions at the end.
 I) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated



For office use only Application Type* ☐ New ☐ Update ☐ Delete
 (To be filled by financial institution) KYC Number (Mandatory for KYC update and delete request)

1. DETAILS OF RELATED PERSON* (Please refer instruction E at the end)

☐ Addition of Related Person ☐ Deletion of Related Person ☐ Update Related Person Details

KYC Number of Related Person (if available*) If KYC number is available, only 'Related Person Type' & 'Name' is mandatory

Related Person Type* ☐ Director ☐ Promoter ☐ Karta ☐ Trustee ☐ Partner ☐ Court Appointment Official ☐ Proprietor
☐ Beneficiary ☐ Authorised Signatory ☐ Beneficial Owner ☐ Power of Attorney Holder ☐ Other (Please specify)

DIN (Director Identification Number) (Mandatory if Related Person Type is Director)

1.1 PERSONAL DETAILS (Please refer instruction E at the end)

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)				
Maiden Name				
Father / Spouse Name				
Mother Name				
Date of Birth*				
Gender*	☐ M- Male ☐ F- Female ☐ T-Transgender			
Nationality*	☐ IN- Indian ☐ Others (ISO 3166 Country Code)			
PAN*		☐ Form 60 furnished		

1.2 PROOF OF IDENTITY AND ADDRESS* (Please refer instruction E at the end)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B-Voter ID Card
☐ C- Driving Licence
☐ D-NREGA Job Card
☐ E- National Population Register Letter
☐ F - Proof of Possession of Aadhaar
 II ☐ E-KYC Authentication
 III ☐ Offline verification of Aadhaar

☐ PHOTO*



Address

Line 1*
 Line 2
 Line 3
 District* Pin / Post Code* State / U.T Code* ISO 3166 Country Code*

☐ 1.3. CURRENT ADDRESS DETAILS (Please refer instruction E and the end)

☐ Same as above mentioned address (In such cases address details as below need not be provided)

I Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- ☐ A- Passport Number
☐ B-Voter ID Card
☐ C- Driving Licence
☐ D-NREGA Job Card
☐ E- National Population Register Letter
☐ F - Proof of Possession of Aadhaar
 II ☐ E-KYC Authentication
 II ☐ Offline verification of Aadhaar

- ☐ Deemed PoA
☐ Self Declaration



MANDATORY

Address

Line 1*																					
Line 2																					
Line 3																City / Town / Village*					
District*						Pin / Post Code*						State / U.T Code*			ISO 3166 Country Code*						

1. 4 CONTACT DETAILS (All communication will be sent on provided mobile no. / Email-ID) (Please refer instruction **D** at the end)

Tel. (Off)						Tel. (Res)						Mobile								
Email ID																				

2. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date : - - Place:

Signature /Thumb Impression of Applicant

3. ATTESTATION / FOR OFFICE USE ONLY

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification
☐ Digital KYC process ☐ Equivalent e-document

IPV & KYC VERIFICATION CARRIED OUT BY

Date - -

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

INSTITUTION DETAILS

Name **V MATHRAN & CO PRIVATE LIMITED**

Code **IN4218**

[Employee Signature]

[Institution Stamp]

KNOW YOUR CLIENT (KYC) Application Form - For Non Individuals

☐ NEW ☐ CHANGE REQUEST (Please tick ✓ the appropriate)

Acknowledgement No. _____



Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

(Please tick ✓ the box on left margin of appropriate row where **CHANGE/CORRECTION** is required and provide the details in the corresponding row)

A

IDENTITY DETAILS

☐

1. Name of the Applicant

☐

2a. Date of Incorporation DD / MM / YYYY 2b. Place of Incorporation

☐

3. Date of commencement of business DD / MM / YYYY

☐

4a. PAN

☐

4b. Registration No. (e.g. CIN)

☐

5. Status (Please tick ✓ the appropriate)

- | | | | | |
|--|--|--|--|--|
| ☐ Private Limited Co. | ☐ Public Ltd. Co. | ☐ Body Corporate | ☐ Partnership | ☐ Trust |
| ☐ Charities | ☐ NGO's | ☐ FI | ☐ FII | ☐ HUF |
| ☐ AOP | ☐ Bank | ☐ Government Body | ☐ Non-Government Organization | ☐ Defense Establishment |
| ☐ BOI | ☐ Society | ☐ LLP | ☐ FPI - Category I | ☐ FPI - Category II |
| ☐ FPI - Category III | ☐ Others (Please specify) | | | |

B

ADDRESS DETAILS

☐

1. Address for Correspondence

City / Town / Village

State

Country

Pin Code

2. Specify the Proof of Address submitted for Correspondence Address:

☐

3. Contact Details

Tel. (Off.)

Fax

Tel. (Res.)

Mobile No.

E-Mail Id.

☐

4. Registered Address (If different from above)

City / Town / Village

State

Country

Pin Code

C

OTHER DETAILS (if space is insufficient, enclose these details separately (illustrative format enclosed))

☐

1. Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:

☐

2a. DIN of whole time directors :

☐

2b. Aadhar number of Promoters/Partners/Karta :

D

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Date: DD / MM / YYYY

☐

Name & Signature of the Authorised Signatory

FOR OFFICE USE ONLY

In Person Verification (IPV) Details:

Name of the person who has done the IPV:

Designation:

Employee ID:

Name of the Organization:

Date of IPV:

DD / MM / YYYY

Signature of the person who has done the IPV

Seal/Stamp of the Intermediary

☐ Originals Verified and Self Attested Document copies received

Date

Signature of the Authorised Signatory

1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhar (UID) Number 4. Residential/ Registered Address City / Town / Village Country Pin Code State 	PHOTOGRAPH Please affix your recent passport size photograph and sign across it
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhar (UID) Number 4. Residential/ Registered Address City / Town / Village Country Pin Code State 	PHOTOGRAPH Please affix your recent passport size photograph and sign across it
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhar (UID) Number 4. Residential/ Registered Address City / Town / Village Country Pin Code State 	PHOTOGRAPH Please affix your recent passport size photograph and sign across it
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhar (UID) Number 4. Residential/ Registered Address City / Town / Village Country Pin Code State 	PHOTOGRAPH Please affix your recent passport size photograph and sign across it
1. Name 2. Relationship with Applicant (i.e. promoters, whole time directors etc.) 3a. PAN 3b. DIN 3c. Aadhar (UID) Number 4. Residential/ Registered Address City / Town / Village Country Pin Code State 	PHOTOGRAPH Please affix your recent passport size photograph and sign across it

Name & Signature of the Authorised Signatory (ies)

Date: / /



MANDATORY

CLIENT INFORMATION & DOCUMENT CHECKLIST

DOCUMENT CHECKLIST — NON-INDIVIDUAL (CORPORATE / PRIVATE LIMITED)

#	Document Required	Details / Acceptable Proofs	☐
1	PAN Card of the Company	Company PAN — mandatory Proof of Identity.	☐
2	Proof of Address of the Corporate (any one)	MCA Form INC-22 / Income Tax Return / Electricity Bill / GST Certificate / Rent Agreement.	☐
3	MOA & AOA + Certificate of Incorporation	Memorandum & Articles of Association and Certificate of Incorporation.	☐
4	Board Resolution	Authorising opening of Demat (if applicable) & Trading Account and naming persons authorised to operate them.	☐
5	List of Authorised Signatories (on letterhead, current date)	Name, Designation, Address, Photo, Specimen Signature.	☐
6	List of Directors (on letterhead, current date)	Name, Address, Mobile No., Email ID, PAN No., DIN No., Aadhaar No.	☐
7	ID Proof of Directors	PAN Card of each Director.	☐
8	Address Proof of Directors (any one)	Aadhaar / Passport / Voter ID / Driving Licence / Telephone Bill / Electricity Bill / Rent Bill.	☐
9	Latest Shareholding Pattern	Name, No. of Shares, Face Value, Total Amount, % of Shares.	☐
10	Beneficial Owner (BO) KYC	PAN, POA & Shareholding Pattern of entity holding >15% (individual) / >25% (non-individual) in the shareholding pattern.	☐
11	Bank Statement — last 3 months	Latest statement, not older than 2 months.	☐
12	Audited Annual Accounts — last 2 FYs	Audited Reports + Net-worth Certificate (not older than one year).	☐
13	Cancelled Cheque / Cheque of Rs. 1,500	Towards Demat A/c opening — 1-year AMC charges (if applicable).	☐
14	Client Master List (CML)	Incase client opts to open only trading account	☐
15	Other KYC Information	Company Email ID; each Director's Mother's Name, Income Range, Profession, Mobile/Email; Director photographs — 3 copies each.	☐

KYC FILLING & ATTESTATION GUIDELINES

- **Attestation:** Copies of all documents must be self-attested by the authorised signatory and produced with originals for verification. In their absence, copies may be attested by a Notary Public, Gazetted Officer, or Manager of a Scheduled Commercial Bank (Name, Designation & Seal).
- **PAN & Matching:** PAN is mandatory for the entity and all Directors, unless specifically exempt. Name & address on the KYC form must match the documentary proof submitted.
- **POA:** Proof of Address is required only if the Proof of Identity does not carry an address, or the address is invalid. Submit proofs for both if correspondence and registered addresses differ.
- **Annual Update:** Every year the entity must resubmit: audited Balance Sheets for the last 2 FYs, and the latest shareholding pattern certified by the Company Secretary / Whole-time Director / MD.
- **FATCA/CRS:** All clients must declare tax residency status. NRIs & foreign nationals must complete FATCA/CRS annexure.
- **UBO & Language:** Identify and complete KYC for all Ultimate Beneficial Owners (UBOs) holding control directly or indirectly, per SEBI takeover regulations. Any proof in a foreign language must be accompanied by an English translation.
- **DDPI:** Demat Debit & Pledge Instruction replaces the old Power of Attorney (POA). Signing DDPI is optional but recommended for smooth settlement.

V Mathran & Co Private Limited — SEBI-Registered Stock Broker & Depository Participant (NSDL). For assistance, contact the Compliance Desk.

TRADING ACCOUNT OPENING FORM (FOR NON-INDIVIDUALS)

BANK ACCOUNT(S) DETAILS		
	Primary Bank Details	Secondary Bank Details
Bank Name		
Branch		
Address		
Bank A/c No.		
A/c Type	☐ Saving ☐ Current ☐ Others-In case of NRI/NRE/NRO	☐ Saving ☐ Current ☐ Others-In case of NRI/NRE/NRO
MICR No.		
IFSC code		
DEPOSITORY ACCOUNT(S) DETAILS		
	Primary Demat A/c Details	Secondary Demat A/c Details
Depository Participant Name		
Depository Name	☐ NSDL ☐ CDSL	☐ NSDL ☐ CDSL
Beneficiary Name		
DP ID		
Beneficiary ID (BO ID)		
TRADING PREFERENCES - Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.		
Exchange	Segment	Signature
NSE & BSE	- All Segments	✓
	- Cash	✓
	- F&O	✓
	- SLBS	✓
Mutual Funds		✓
If you do not wish to trade in any of segments / Mutual Fund, please mention here _____ _____		

OTHER DETAILS			
Gross Annual Income Details (please specify)			
Income Range per annum (Rs.)	☐ Below ₹1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lacs-1 crore ☐ > 1 crore		
Net-worth		as on (date)	
(Net worth should not be older than 1 year)		(dd/mm/yyyy)	
Please tick, if applicable, for any of your authorized signatories / Promoters / Partners / Karta / Trustees / whole time directors		☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)	
PAST ACTIONS			
Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors / authorized persons in charge of dealing in securities during the last 3 years. : ☐ YES ☐ NO			
DEALINGS THROUGH AUTHORISED PERSON OR OTHER STOCK BROKERS : YES / NO (If Yes, Please specify)			
Whether dealing with any other stock broker / AP (in case dealing with multiple stock brokers / APs, provide details of all)			
Name of stock broker			
Name of AP, if any		AP Regn. No.	
Client Code		Exchange	
Details of disputes/dues pending from/to such stock broker / AP			
Details of disputes/dues pending from/to such stock broker/authorized person: YES/ NO			
ADDITIONAL DETAILS			
Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify)			
☐ Physical ☐ Electronic, Specify your Email id, if applicable :			
Whether you wish to receive the standard documents - Rights and Obligations, Risk Disclosure Document (RDD) and Guidance Note, (please specify)			
		☐ Physically	☐ Electronically
Please note that these documents are also available in certain vernacular languages on demand.			
Whether you wish to avail of the facility of internet trading / wireless technology (please specify)			
☐ YES ☐ NO			
Number of years of Investment / Trading Experience			
In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others		As per Annexure	
Any other information			

INTRODUCER DETAILS (optional)	
Name of the Introducer	
Status of the Introducer	☐ Remisier ☐ Authorized Person ☐ Existing Client ☐ Others, please specify
Address and Phone No. of the Introducer	
Signature of the Introducer	✓

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place: _____

Date: _____

✓ _____
Signature of Client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____ Demat Beneficiary ID _____

	Documents verified with Originals	Client Interviewed By
Name of the Employee		
Employee Code		
Designation of the employee		
Date		
Signature		

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non- mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Authorized Signatory _____

Date: _____

Seal/Stamp of the stock broker

DEMAT ACCOUNT OPENING FORM (FOR NON-INDIVIDUALS)**V MATHRAN & CO PRIVATE LIMITED****DP ID IN305058**

CLIENT ID										Date								
(To be filled by Participant)																		
We request you to open a Depository Account in our name as per the following details: (Please fill all the details in CAPITAL LETTERS only)																		
A) DETAILS OF ACCOUNT HOLDER(S) :																		
	Name								PAN									
Sole/ First Holder																		
Second Holder																		
Third Holder																		
B) Type of account	☐ Body Corporate ☐ FI ☐ FII ☐ Qualified Foreign Investor ☐ Mutual Fund ☐ Trust ☐ Bank ☐ CM ☐ HUF ☐ Others(Please specify) _____																	
C) For HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the karta, partner(s), trustee(es) etc., the name & PAN of the HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below :																		
Name									PAN									
D) Income Details (Rs.) (please specify) - Income Range per annum																		
☐ Below 20 Lac ☐ 20 - 50 Lac ☐ 50 Lac - 1 Crore ☐ Above 1Crore, and																		
Networth Amount									as on (Date)									
E) In case of Flis/Others (as may be applicable)																		
RBI Approval Reference No.									RBI Approval date									
SEBI Registration Number (for FIIs)																		
F) BANK DETAILS :																		
Bank A/c Type	☐ Savings Account ☐ Current Account ☐ Others (Please specify) _____																	
Bank A/c No.																		
Bank Name																		
Branch Address																		
City/town/village									Pin Code									
State									Country									
MICR Code									IFSC									

G) Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors:		☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP)			
H) Clearing Member Details (to be filled up by Clearing Members only)					
Name of Stock Exchange					
Name of Clearing Corporation/ Clearing House					
Clearing Member ID					
SEBI Registration Number					
Trade Name					
CM-BP-ID (to be filled up by Participant)					
I) STANDING INSTRUCTIONS :					
1. We authorise you to receive credits automatically into our account		☐ Yes ☐ No			
2. Account to be operated through Demat Debit & Pledge Instruction(DDPI)		☐ Yes ☐ No			
3. I/We wish to receive the DIS Booklet with Account Opening		☐ Yes ☐ No			
4. Standing Instruction indicator for Auto-Pledge confirmation		☐ Yes ☐ No			
3. SMS Alert facility :					
Sole/First Holder	☐ Yes ☐ No	Second Holder	☐ Yes ☐ No		
4. Mode of receiving Statement of Account (Tick any one)		☐ Physical Form ☐ Electronic Form			
<i>(Read Note 3 and ensure that email ID is provided in KYC Application Form)</i>					
5. Mode of receiving the standard document - Rights and Obligations of Beneficial Owner and Depository Participant (Tick any one)		☐ Physical Form ☐ Electronic Form			
6. We would like to instruct the DP to accept all pledge instruction of my/our account without any further instruction from me/our end. (If not marked, the default option would be NO.)		☐ Yes ☐ No			
7. We would like to share the e-mail Id with the RTA		☐ Yes ☐ No			
8. Option to receive Annual Reports, AGM, Notices & Other Communications from Issuer & RTA is physical form		☐ Yes ☐ No			
J) LIST OF FAMILY MEMBERS (SEPARATE ANNEXURE MAY BE USED IN CASE NUMBER OF MEMBER IS HIGHER)					
Sl. No.	Name of Coparcener / Member	Gender	Date of Birth	Relation with Karta	*Whether Coparcener/ Member (Please specify)
* Son, daughter, grandson & grand-daughter will be Co-parceners. Spouse, daughter-in-law will be Members.					
L) ☐ I/We wish to make one time Self-declaration for Inter-Depository transfer of Government Securities. [As per details given below] ☐ I/We do not wish to make one time Self-declaration for Inter-Depository transfer of Government Securities.					
Declaration Details		I/We hereby declare that I/We will submit only those Inter-Depository transfer Instructions in respect of Government Securities (G-Sec) which are bonafide and arising out of genuine trade or transfer transaction.			

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it. **We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".**

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories. For HUF, details of Karta to be given)

Sole/First Holder	Name	Signature(s)
First Signatory/ Karta of HUF		
Second Signatory		
Third Signatory		
<u>Other Holders</u>		
Second Holder		
Third Holder		

Mode of Operation for Sole/First Holder {In case of joint holdings, all the holders must sign. In case of HUF, this is not applicable.)

☐ Any one singly

☐ Jointly by

☐ As per resolution

☐ Others (please specify)

NOTES:

- In case of additional signatures, separate annexure should be attached to the application form.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or Notary Public or a Special Executive Magistrate.
- For receiving Statement of account in electronic form:
 - Client must ensure the confidentiality of the password of the email account.
 - Client must promptly inform the Participant if the email addresses has changed.
 - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- Strike off whichever is not applicable.
- Board resolution (only for corporates) on company letterhead & duly certified to be true.

=====

Acknowledgement
V MATHRAN & CO PRIVATE LIMITED
 DP ID IN305058

Received the application from Mr/Ms _____ as the sole/first holder along with _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Date:

DEMAT DEBIT PLEDGE INSTRUCTION (DDPI) AUTHORISATION

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE _____
residing at _____
inhabitant SEND GREETINGS.

Whereas I /we hold a Beneficiary Account No. _____ (BO-ID) through **M/s V Mathran & Co Private Limited** with National Securities Depositories Ltd.[NSDL] (bearing DP ID IN305058).

And Whereas I am/we are an investor under UCC Code _____ engaged in buying and selling of securities through **V Mathran & Co Private Limited**, a Member of National Stock Exchange of India Ltd.(NSE) and BSE Limited, having **SEBI Regn. No. INZ000200831**.

NOW KNOW I/ WE ALL AND THESE PRESENTS WITNESSETH THAT I/WE THE ABOVE NAMED DO HEREBY INSTRUCT **M/s V Mathran & Co Private Limited** an Indian Company registered under the Companies Act, 1956, having its Regd. Office at 204 Vaishno Chambers, 6 Brabourne Road, Kolkata-700001, India to exercise and perform the following acts, deeds and things as mentioned below on my/our behalf:

Sl. No.	Purpose	Signature of Client
1	Transfer of securities held in my / our beneficial owner account towards Stock Exchange related to deliveries / settlement obligations arising out of trades executed by me / us on the Stock Exchange through M/s V MATHRAN & CO PRIVATE LIMITED.	1st Holder ✓
		2nd Holder ✓
		3rd Holder ✓
2	Pledging / re-pledging of securities in favour of Trading Member (TM) / Clearing Member (CM) for the purpose of meeting margin requirements in connection with the trades executed by me / us on the Stock Exchange.	1st Holder ✓
		2nd Holder ✓
		3rd Holder ✓
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	1st Holder ✓
		2nd Holder ✓
		3rd Holder ✓
4	Tendering shares in open offers through Stock Exchange platforms	1st Holder ✓
		2nd Holder ✓
		3rd Holder ✓

The Demat account details of Member where shares can be transferred for above purpose are mentioned below:

Account Name	Depository	NSDL DP ID	NSDL CMBP ID	NSDL CLIENT ID
NSE Pool A/c	NSDL	IN305058	IN595143	10000166
BSE Pool A/c	NSDL	IN305058	IN648906	10000174
TM/CM CSMPA A/c	NSDL	IN305058	-	10000107

Sole/1 st Holder	2 nd Holder Signature	3 rd Holder Signature
✓	✓	✓

Date: _____

M/s V Mathran & Co Private Limited

Place: _____

Authorised Signatory

CLIENT POLICIES & RISK MANAGEMENT SUMMARY

To ensure prudent risk management and regulatory compliance, V Mathran & Co Private Limited ("VMPL") follows the policies outlined below:

1. **Order Acceptance**
VMPL may refuse, restrict, or seek additional confirmation for orders in securities that are illiquid, highly volatile, restricted by exchanges/regulators, or considered high risk under internal risk management policies.
2. **Exposure & Margin Requirements**
Trading limits and exposure are provided based on available funds, approved collateral, applicable margins, market conditions, and the client's risk profile. VMPL reserves the right to revise exposure limits at any time.
3. **Brokerage & Charges**
Brokerage shall be levied as agreed with the client and shall always remain within regulatory limits prescribed by the Exchanges and SEBI. Applicable taxes, statutory levies, exchange charges, and other regulatory fees shall be charged separately.
4. **Delayed Payment Charges**
Delayed payment charges may be levied on overdue balances in accordance with the rates disclosed by VMPL and applicable regulatory guidelines.
5. **Square-Off & Liquidation Rights**
In the event of margin shortfall, non-payment of obligations, or risk management concerns, VMPL may square off positions or liquidate securities/collateral to the extent necessary for recovery of dues and settlement obligations.
6. **Trading Restrictions**
VMPL may restrict further trading or close open positions in circumstances including margin shortfall, prolonged debit balances, dishonoured payments, suspicious transactions, regulatory actions, or other risk-related concerns.
7. **Client Account Suspension**
Client accounts may be temporarily suspended due to inactivity, unresolved compliance requirements, regulatory directives, client requests, pending investigations, or outstanding obligations.
8. **Account Closure & Deregistration**
VMPL reserves the right to close or deregister client accounts in accordance with applicable laws, regulatory requirements, and internal policies. Clients may also request closure of their accounts subject to settlement of all obligations.
9. **Regulatory Compliance**
All trading activities shall remain subject to the rules, regulations, circulars and guidelines issued by SEBI, Stock Exchanges, Depositories, Clearing Corporations and other regulatory authorities from time to time.
10. **Policy Amendments**
VMPL reserves the right to modify its policies and procedures in accordance with regulatory changes, risk management requirements, or business considerations. Updated policies shall be made available through appropriate communication channels.

By opening and operating an account with VMPL, the client acknowledges and agrees to comply with the above policies and all applicable regulatory requirements.

✓ _____
Signature Of Client

VOLUNTARY FREEZE / BLOCK ONLINE TRADING ACCESS

To enhance account security, V Mathran & Co Private Limited ("VMPL") provides clients with a facility to voluntarily freeze or block online access to their trading accounts in case of suspected unauthorized activity.

Important

- This facility blocks only the online trading access of the account.
- Open positions, margin obligations and risk management actions remain unaffected.
- Blocking of online access does not deactivate the client's trading account or Unique Client Code (UCC).

How to Request Account Blocking

1. By Registered Email ID

Send an email from your registered email address to: stoptrade@vmathran.com

Please mention:

- Client Name
- UCC (Client Code)
- Request to BLOCK or UNBLOCK the account

2. By Registered Mobile Number

Send an SMS from your registered mobile number to: **90880 88451**

Format:

- BLOCK

Example: BLOCKA123

- UNBLOCK

Example: UNBLOCKA123

Processing Timelines

- Requests received during trading hours will generally be processed within 15 minutes.
- Requests received after trading hours will be processed before the commencement of the next trading session.
- An acknowledgement will be sent to the registered email ID or mobile number after processing.

Reactivation

Online trading access may be restored upon receipt and verification of a valid unblocking request from the registered contact details of the client.

For assistance, please contact the Compliance Team of V Mathran & Co Private Limited.

✓ _____
Signature Of Client

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

As required by SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/180 dt.13.11.2023 (For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

✓

Signature of Client

TARIFF SHEET

Trading Charges - Brokerage					
SEGMENT	DELIVERY (%)	MINIMUM (paisa)	SQUARE OFF (%)	MINIMUM (paisa)	RISK CATEGORY
NSE Cash					
NSE Equity Futures					
NSE Equity Options					
NSE SLBS					
BSE Cash					
BSE Equity Futures					
BSE Equity Options					

The above rates are exclusive STT, GST, Stamp Charges, Transaction Charges, Clearing Charges, SEBI Charges, etc. if any, which will be charged extra at the rates prevailing from time to time

DP CHARGES - NSDL	
PARAMETER	CHARGES
Account Opening	Nil
Annual Maintenance Charges: For Individual For Corporate For BSDA Clients	Rs. 500/- Rs. 1500/- For Holding Value Under 4Lakhs: Nil For Holding Value 4LAC to 10 Lakhs ₹100/-
Transaction Charges: Market Delivery Off- Market / Inter Depository Delivery	Rs. 25/- Rs. 35/- or 0.035% (whichever is higher)
Conversion of MF units represented by SOA in Demat	Rs. 100/- per instruction
Reconversion of MF units into SOA	Rs. 100/- per instruction
Redemption of MF units	Rs. 25/- per instruction
Margin Pledge Creation	Rs. 10/- per instruction
Margin Re- Pledge	Rs. 10/- per instruction
Margin Pledge Release	Rs. 10/- per instruction
Margin Pledge Invocation CM/TM	Rs. 10/- per instruction
Dematerialisation	Rs. 10/- per certificate [Min. Rs. 100/- per request] Plus courier charges Rs. 50/-
Rematerialisation	Rs. 15/- per 100 Quantity or a flat fee of Rs. 15/- per certificate (whichever is higher)
Pledge Creation	Rs. 50/-
Pledge Invocation	Rs. 50/-
Pledge Closure	Rs. 25/-
Client Master Modification	Rs. 50/- [Plus courier charges Rs. 50/-]
Clients Account Freeze/Unfreeze	Rs. 100/- [Plus courier charges Rs. 50/-]

Important Notes:

- A Settlement Fee @ Rs. 4/- per Debit instruction in a Client Account shall be charged to the Participant of the Client
- All DP charges above are inclusive of NSDL charges. They are indicative and subject to NSDL circulars and revisions.
- All charges subject to applicable GST @18% unless stated otherwise. Updated available at www.vmathran.com
- Brokerage rates are as agreed at the time of account opening. Any changes will be communicated 30 days in advance.
- **Penalty on non-maintenance of minimum 50% Cash:Collateral ratio – upto 18% p.a. on shortfall of Cash Component**

✓ _____
Signature Of Client

RUNNING ACCOUNT AUTHORISATION (FUNDS & SECURITIES)

To,

V Mathran & Co Private Limited

I/We hereby authorize V Mathran & Co Private Limited ("VMPL") to maintain a Running Account for funds and securities pertaining to my/our trading account, subject to the applicable rules, regulations and circulars issued by SEBI, Stock Exchanges, Clearing Corporations and Depositories from time to time.

I/We understand and agree to the following:

1. VMPL may retain credit balances of funds and securities in my/our account and need not settle the account after every transaction.
2. Funds and securities shall be settled on a periodic basis as per the settlement preference selected by me/us below and in accordance with applicable regulatory requirements.
3. I/We may request payout of available funds and/or securities at any time, and such request shall be processed within the timelines prescribed by applicable regulations.
4. No interest shall be payable on funds retained under the Running Account facility.
5. VMPL may adjust credit balances, margins and collateral available in one segment/exchange against obligations arising in another segment/exchange, subject to applicable regulations.
6. Securities, margins, pledged securities, bank guarantees, fixed deposits or other approved collateral provided by me/us may be utilized towards margin requirements and settlement obligations in accordance with applicable rules and regulations.
7. VMPL may transfer margins and collateral to the relevant Exchange, Clearing Corporation or Depository Participant as required.
8. There shall be no inter-client adjustment of funds or securities.
9. Any discrepancy in the statement of accounts or settlements should be brought to the notice of VMPL within 30 days of receipt of the statement.
10. This authorization shall remain valid until revoked by me/us in writing, subject to settlement of all outstanding obligations.

Settlement Preference

☐ Monthly Settlement

☐ Quarterly Settlement

I/We have read, understood and voluntarily provided this authorization.

✓ _____
Signature Of Client

COMMUNICATION & DIGITAL CONSENT FORM

Client Name: _____

Client Code (UCC): _____

Email ID: _____

Mobile Number: _____

I/We hereby authorize V Mathran & Co Private Limited ("VMPL") to communicate with me/us through the contact details provided above. I/We consent to receive:

- ☐ Contract Notes and Trade Confirmations
- ☐ Ledger, Holding and Margin Statements
- ☐ Regulatory Alerts and Exchange Communications
- ☐ Research Reports and Market Updates
- ☐ Trading Ideas and Investment Insights
- ☐ Corporate Announcements and Product Information
- ☐ SMS Alerts
- ☐ WhatsApp Communications
- ☐ Email Communications

I/We confirm that:

1. Above email address and mobile number belong to me/us or have been validly authorized by me/us.
2. I/We shall promptly inform VMPL of any change in the above contact details.
3. Communications sent to the registered email address and/or mobile number shall be deemed delivered.
4. VMPL shall not be responsible for non-delivery arising from network issues, inactive accounts, mailbox limitations, service interruptions or circumstances beyond its control.
5. Any market views, research reports, alerts or trading ideas shared by VMPL are for informational purposes only and shall not be construed as guaranteed returns or investment assurance.
6. All investment and trading decisions shall be taken by me/us independently after considering my/our financial situation, risk profile and investment objectives.
7. This consent shall remain valid until revoked by me/us through written communication.

✓ _____

Signature Of Client

DECLARATION IN CASE OF SAME MOBILE NUMBER AND / OR EMAIL ID FOR DIFFERENT CLIENTS

Client ID		Date	
Name of account Holder			
☐ Mobile Number			
☐ Email ID			
I hereby declare that the aforesaid mobile number or E-mail ID belongs to ☐ Me or ☐ My family (spouse, dependent children and dependent parents).			
Signature of account holder	✓		
Name of account Holder			

CONSENT LETTER FOR RECEIVING ALERTS/ TRADING CALLS ON MOBILE/ WHATSAPP / EMAIL

Dear Madam/Sir,

I/We hereby give my/our consent to VMPL to give me/us Alerts, Trading Calls, Research Reports, News, Live Updates or any other information on my Email ID given earlier for Electronic Communication and also on my mobile number.

This shall not be treated as violation of any DND or any other similar rules applicable from time to time. The number may be given to the exchange database also. Further, I/we undertake to VMPL and confirm to use my/our own judgment in taking a view and execute trade in the identified security(ies) according to my/our financial strength/capabilities and shall not hold VMPL responsible for any loss suffered by me/us on account of executing or omitting to execute any trades in pursuance of such communication and/or investment advises sent by VMPL.

I/We further declare that the above mentioned statement is true and correct.

✓ _____
Signature Of Client

CONSENT LETTER FOR EMAIL AND MOBILE ALERT FACILITIES

Dear Madam/Sir,

This is with reference to my/our trading account opened with you; I/we request you arrange facility of receiving email and/ or mobile alert facility issued by Exchange in compliance with regulation and guidelines issued by concern authorities from time to time.

Email Facility	Service Required - ☐YES ☐NO
Email ID	
Owned by- Name	
- PAN Number*	
Relationship with Client	
Signature of the Client	✓
SMS Facility	Service Required -YES☐ NO☐
Mobile Number	
Owned by- Name	
- PAN Number*	
Relationship with Client	
Signature of the Client	✓

* Please specify the Name and PAN detail in case email id and/or Mobile Number is other than that of the client. In this regards we state the following:

1. This is to further confirm that it will be my/our responsibility that my/our Email ID and/or Mobile Number are active and the relevant Inbox is not full. Further, the trading member will not be held liable for the mails and / or SMS alert not received.
2. I/we undertake that any change in my/our Email ID and/or Mobile Number shall be communicated to you in writing through a physical letter.
3. I/we agree that this authority shall be valid, until it is revoked by me/us at any time by giving a written notice to V Mathran & Co Private Limited.

✓ _____
Signature Of Client

DECLARATION & LETTER OF UNDERSTANDING

To,

V Mathran & Co Private Limited ("VMPL")

I/We hereby confirm and agree as follows:

1. VMPL may adjust credit balances, margins and collateral available in any of my/our accounts against obligations arising in any other account, segment or exchange maintained with VMPL, subject to applicable regulations.
2. I/We understand that trading instructions may be placed through approved communication channels, including telephone, recorded lines, online platforms and other modes permitted by VMPL. I/We shall be responsible for all instructions originating from me/us or my/our authorized representative.
3. I/We authorize VMPL to retain or withhold funds and/or securities towards margin requirements, settlement obligations, debit balances, penalties, statutory dues or other liabilities arising from my/our transactions.
4. I/We acknowledge that securities markets operate through electronic systems and communication networks. Delays, interruptions, connectivity issues, exchange outages, system failures or other circumstances beyond VMPL's control may affect order execution, modification or cancellation.
5. VMPL shall not be liable for losses arising from events beyond its reasonable control, including system failures, communication disruptions, regulatory actions, natural calamities, strikes, riots, war or other force majeure events.
6. Any penalties, charges, interest, auction obligations or regulatory levies arising from my/our transactions may be debited to my/our account.
7. Any discrepancy relating to contract notes, ledger statements, fund balances or securities balances should be brought to the notice of VMPL promptly upon receipt.
8. I/We undertake to provide all information and documentation required under Anti-Money Laundering (AML), Know Your Client (KYC) and regulatory requirements and understand that VMPL may report transactions to regulatory authorities wherever required under applicable laws.
9. This declaration shall remain valid until revoked by me/us in writing, subject to settlement of all outstanding obligations.

I/We confirm that I/we have read, understood and accepted the above terms.

✓ _____
Signature Of Client

**Voluntary information provided by client in relation to the
Prevention of Money Laundering Act, 2002**

Name of the Client : _____

If Business / Profession: Nature of business: _____

Details of my/our Relatives, having account with **V Mathran & Co Private Limited**:

Name	Relationship	UCC (Client Code)
1.		
2.		

Details of the Corporate / Partnership Firm / Trust etc. where I/We am/are affiliated

Name	Entity Type	Nature of Business	Relationship	UCC (Client Code)
1.				
2.				

I/We hereby submit and agree to submit every year any one of the following documents to V Mathran & Co Private Limited, before the due date as prescribed by V Mathran & Co Private Limited:

1. Profit and Loss Account & Capital Account
2. Balance Sheet
3. Self-attested copy of Income Tax Return (If return not available, I/we will furnish Form 16)
4. Copy of Form 16 in case of Salary Income
5. Any other document providing financial details of the client

☐ I/We hereby declare that I/We do not fall under Clients of Special Category as defined in Prevention of Money Laundering Act 2002, OR

☐ I/We hereby declare that I/We fall under Clients of Special Category as defined in Prevention of Money Laundering Act, 2002 (choose the relevant category as under)

☐ Non Resident Client, ☐ High Net-worth Clients, ☐ Trust, Charities, Non- Governmental Organisations (NGOs) and organizations receiving donations, ☐ Companies having close family shareholdings or beneficial ownership,

☐ Politically Exposed Persons, ☐ Companies Offering foreign exchange offerings, ☐ Clients in high risk countries where existence/ effectiveness of money laundering controls is suspect, ☐ Non face to face clients, ☐ Clients with dubious reputation as per public information available etc.

I/We confirm that I/We will immediately inform V Mathran & Co Private Limited in case I/We am/are convicted under any grounds or any action is taken against me/us by any authority(ies).

I/We intend to invest in the stock market with: ☐ Own Funds ☐ Borrowed Funds

(If Borrowed Funds, then please specify below Sources of funds :)

Sources of Borrowed Funds (if any)	Amount (₹)

(Certificated / Opinion Report from the Banker / Financial Institution confirming that there has been no default in the client's account is to be attached, which I/We agree to attach herewith.)

I/We hereby declare that I/We am/are beneficial owner of the Trading / On-line account opened with V Mathran & Co Private Limited, and that I/We am/are investing my/our own funds with V Mathran & Co Private Limited.

✓ _____
Client Signature

Client's Name

FOR OFFICE USE ONLY

Risk categorization of client as per PMLA, 2002: ☐ High Risk ☐ Medium Risk ☐ Low Risk

DECLARATION OF ULTIMATE BENEFICIAL OWNERSHIP

(Mandatory for Non-Individuals)

Investor Name _____ PAN _____

Part I - LISTED COMPANY / ITS SUBSIDIARY COMPANY [If applicable, Part II Not Applicable] We hereby declare that the Applicant/ Owner of the controlling interest in the applicant

- ☐ is a Company listed on a Stock Exchange
- ☐ is a majority-owned subsidiary of a Company listed on a Stock Exchange

Name of the holding/ parent company (with % share) _____

Name of such Listed Company (if not the Applicant itself) _____

Stock Exchange where listed _____ Security ISIN _____

Part II - OTHER THAN LISTED COMPANY / ITS SUBSIDIARY COMPANY

Name & Address of the Ultimate Beneficial Owner [UBO]	PAN or any other identification proof where PAN not applicable	Country of tax residency	% of beneficial interest in the Applicant	Whether Politically Exposed?	UBO Code (see instruction next page)
(1)					
(2)					
(3)					

If UBO is already KYC compliant, KYC complied proof to be enclosed. Else PAN or any other valid identity proof and address proof must be attached (self-certified by the UBO and certified by the Applicant)

Part III - DECLARATION

We understand that V Mathran & Co Private Limited is relying on this information for the purpose of determining the beneficial ownership of the account. We certify that the information we provided on this form is true and complete to the best of our knowledge and belief. We agree to submit a new form within 30 days if any information or certification on this form gets changed.	✓ _____ Authorised Signatory [with seal] Date : _____ Place : _____
--	---

In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit.

GENERAL INFORMATION & INSTRUCTIONS

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

Ultimate Beneficiary Owner [UBO]:

A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
 - more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
 - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies/foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/ MIRSD/ 11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client.

UBO Code Description

UBO-1 : Controlling ownership interest of more than 25% of shares or capital or profits of the Applicant, where the Applicant is a company ☐ UBO-2 : Controlling ownership interest of more than 15% of the capital or profits of the Applicant, where the Applicant is a partnership ☐ UBO-3 : Controlling ownership interest of more than 15% of the property or capital or profits of the Applicant, where the Applicant is an unincorporated association or body of individuals ☐ UBO-4 : Natural person exercising control over the Applicant through other means i.e., exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests] ☐ UBO-5 : Natural person who holds the position of senior managing official [In case no natural person could be identified as above] ☐ UBO-6 :The settler(s) of the trust ☐ UBO-7 :Trustee(s) of the Trust ☐ UBO-8 :The Protector(s) of the Trust [if applicable]. ☐ UBO-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s) ☐ UBO-10 : Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

FATCA/CRS DECLARATION FORM - FOR NON-INDIVIDUAL

Applicant Name _____

PART I

- A. Is the account holder a Government body/International Organization/listed company on recognized stock exchange:

☐ Yes ☐ No

If "No", then proceed to point B. If "yes" please specify name of stock exchange, if you are listed company _____ and proceed to sign the declaration.

- B. Is the account holder a (Entity/Financial Institution) tax resident of any country other than India: ☐ Yes ☐ No

If "yes", then please fill of FATCA/ CRS Self certification Form. If "No", proceed to point C.

- C. Is the account holder an Indian Financial Institution: ☐ Yes ☐ No

If "yes", please provide your GIIN, if any _____. If "No", proceed to point D.

- D. Are the Substantial owners or controlling persons in the entity or chain of ownership resident for tax purpose in any country outside India or not an Indian citizen: ☐ Yes ☐ No

If "yes", (then please fill FATCA/ CRS self-certification form)). If "No", proceed to sign the declaration.

CUSTOMER DECLARATION

() Under penalty of perjury, I/we certify that :

1. The applicant is:

- (i) An applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District to Columbia or any other states of the U.S.,
- (ii) An estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person)**

2. The applicant is an applicant taxable as a tax resident under the laws of country outside India.

- (i) I/We understand that V Mathran & Co Private Limited is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. V Mathran & Co Private Limited is not able to offer any tax advice on FATCA/CRS or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
- (ii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- (iii) I/We agree that as may be required by domestic regulators/tax authorities V Mathran & Co Private Limited may also be required to report, reportable details to CBDT or close or suspend my account.
- (iv) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Name of the Entity _____

Signature 1 ☒ _____ Signature 2 ☒ _____

Signature 3 ☒ _____ (As per MOP)

Date: _____

PART II

Self-Certification Form(Entity) for Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standards(CRS)

Section 1: Entity information

Name of Entity _____

Customer id (if existing) _____ Entity Constitution Type _____

Entity Identification type: ☐ Tax Identification Number (TIN) ☐ US GIIN ☐ Company Identification Number

☐ Global Entity Identification Number (EIN) ☐ Other

Entity Identification No. _____

Entity Identification issuing country _____ Country of Residence for tax purpose _____

Section 2: Classification of Non-Financial entities

I/We (on behalf of the entity) certify that the entity is:

a) An entity incorporated and taxable in US (Specified US person) : ☐ Yes ☐ No

If "Yes", please provide your U.S. Taxpayer Identification Number (TIN) _____

b) An entity incorporated and taxable outside of India (other than US) : ☐ Yes ☐ No

If "Yes", please provide your TIN or its functional equivalent _____

Provide your TIN issuing country _____

c) Please provide the following additional details if you are not a Specified US Person:

FATCA / CRS classification for Non-financial entities (NFFE)

☐ Active NFFE ☐ Passive NFFE without any controlling Person

☐ Passive NFFE with Controlling Person(s) : US ☐ Others ☐

☐ Direct Reporting NFFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus V Mathran & Co Private Limited is not required to do the reporting)

Please provide GIIN number: _____

Section 3: Classification of financial institutions (including Banks)

I/We (on behalf of the entity) certify that the entity is:

a. An entity is a U.S. financial institution: ☐ Yes ☐ No

If "Yes", (i) Please provide your Taxpayer Identification Number (TIN)

(ii) Please provide GIIN, if any _____

If "No", please tick one of the following boxes below:

FATCA classification

☐ Reporting Foreign Financial Institution in a Model 1 Inter-Governmental Agreement ("IGA") Jurisdiction

☐ Reporting Foreign Financial Institution in a Model 2 IGA Jurisdiction

☐ Participating FFI in a Non-IGA Jurisdiction

☐ Non-reporting FI

☐ Non-Participating FI

☐ Owner-Documented FI with specified US owners

Please provide the Global Intermediary Identification number (GIIN) or other information where

Section 4: Controlling person declaration

If you are classified as “Passive NFFE with Controlling Person(s)” or “Owner documented FFI” or “Specified US person”, please provide the following details:

Name of controlling person	Correspondence Address	Country of residence for tax purpose	TIN	TIN issuing country	Controlling person type
Details	Controlling person 1	Controlling person 2	Controlling person 3	Controlling person 4	Controlling person 5
Identification Type					
Identification Number					
Occupation Type					
Occupation					
Birth Date					
Nationality					
Country of Birth					

Section 5: Declaration

- (i) Under penalty of perjury, I/we certify that:
1. The number shown on this form is the correct taxpayer identification number of the applicant, and
 2. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America (“U.S.”) or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof, or
 3. The applicant is an applicant taxable as a tax resident under the laws of country outside India.
- (ii) I/We understand that V Mathran & Co Private Limited is relying on this information for the purpose of determining the status of the applicant named above in compliance with CRS/FATCA. V Mathran & Co Private Limited is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
- (iii) I/We agree to submit a new form within 30 days if any information or certification on this form gets changed.
- (iv) I/ We agree as may be required by Regulatory authorities, V Mathran & Co Private Limited shall be required to comply to report, reportable details to CBDT or close or suspend my account.
- (v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We hereby confirm that details provided are accurate, correct and complete

Signature of the Client/(all) Authorised Signatory(ies)

Name-

Date-

AUTHORITY TO DEBIT THE DEMAT CHARGES

To
V Mathran & Co Private Limited,

Beneficiary Client ID	
Trading Account Code	

Dear Sir,

This is to inform you that,

1. I/we have a beneficiary account with you with client ID as mentioned above.
2. I/we have a trading account with **V Mathran & Co Private Limited** with trading code mentioned above.

With respect to the above mentioned subject and consideration, I/we hereby authorize you to debit the trading account maintained with **V Mathran & Co Private Limited** for the debit charges payable to V Mathran & Co Private Limited, as Depository Participant for providing depository services. Any such amount debited to my/our Trading Account shall be binding on me/us.

Yours faithfully,

Signature of the Client: ✓ _____ ✓ _____ ✓ _____
First Holder Second Holder Third Holder

Option to receive Annual Reports, AGM notice and other communication from issuer in physical form

To
V Mathran & Co Private Limited,

Client Id										Date								
Sole/First Client Name																		
Second Holder Name																		
Third Holder Name																		

(Please tick any one)

- I / We hereby inform you that I/we wish to receive financial statements (i.e. annual reports), AGM notice and other communications from Issuers in physical form.
- I / We hereby inform you that I/we had earlier opted to receive the financial statements (i.e. annual reports), AGM notice and other communications from Issuers in physical form and now intend to remove the said option so that I/we can receive the same in electronic form.

Signature of the Client: ✓ _____ ✓ _____ ✓ _____
First Holder Second Holder Third Holder

EDIS / SPEED-E AUTHORIZATION ACKNOWLEDGEMENT

To

V Mathran & Co Private Limited,

I/We have been informed about the EDIS (Electronic Delivery Instruction System) and Speed-e facility offered by NSDL through V Mathran & Co Private Limited. I/We understand that:

- Each debit transaction through EDIS requires my/our TPIN authorisation.
- I/We should NOT share TPIN with anyone, including V Mathran staff.
- For details, refer to Section 5 (Demat Form) and www.nsdli.co.in

☐ I/We have read and understood the EDIS framework and agree to its terms.

✓ _____
Signature Of Client

OPTION FORM FOR ISSUE OF DIS BOOKLET

To

V Mathran & Co Private Limited

DP ID IN305058

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

❑ OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our NSDL account though I / we have issued a DDPI / registered for eDIS / executed PMS agreement in favour of / with _____ (name of the DDPI holder / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such DDPI holder / Clearing Member / by PMS manager for executing delivery instructions through e-DIS.

OR

❑ OPTION 2:

I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a DDPI / registered for eDIS / executed PMS agreement in favour of / with _____ (name of DDPI holder / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such DDPI holder / Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

Signature of the Client: ✓ _____
First Holder

✓ _____
Second Holder

✓ _____
Third Holder

MUTUAL FUND SERVICES REGISTRATION FORM

To,

V Mathran & Co Private Limited

I/We am/are registered clients of V Mathran & Co Private Limited and request activation of the Mutual Fund Services Facility through the Exchange platforms, including BSE StAR MF and NSE MF Invest.

I/We authorize V Mathran & Co Private Limited to use the KYC and client information already submitted for my/our trading account for the purpose of registration under the Mutual Fund Services Facility.

I/We hereby declare and agree that:

1. I/We wish to invest in Mutual Fund schemes through BSE StAR MF and/or NSE MF Invest.
2. The KYC and other information submitted by me/us remains true, complete and unchanged as on the date of this declaration.
3. I/We shall read and understand the Scheme Information Document (SID), Key Information Memorandum (KIM), Scheme Related Documents and all applicable disclosures before investing in any Mutual Fund scheme.
4. I/We understand that Mutual Fund investments are subject to market risks and that all investment decisions shall be made solely by me/us.
5. I/We agree to comply with the rules, regulations, circulars and guidelines issued by SEBI, AMFI, BSE, NSE and other applicable regulatory authorities from time to time.
6. I/We shall promptly notify V Mathran & Co Private Limited of any change in my/our KYC information.
7. I/We understand that V Mathran & Co Private Limited acts only as a facilitator/distributor and shall not be responsible for the performance of any Mutual Fund scheme.

I/We therefore request V Mathran & Co Private Limited to enable Mutual Fund transaction facilities in my/our account.

✓ _____
Signature Of Client

ADDITION OF AADHAAR DETAILS

I/We do hereby solemnly declare that the detail herein above submitted by me/us is/are true to my/our knowledge.

I/We voluntarily give my/our consent to 'V Mathran & Co Private Limited to use my/our Aadhaar Details to authenticate from UIDAI and link the Aadhaar Number to all my/our existing/new accounts with your DP.

	NAME	AADHAAR
Sole/First Holder		
Second Holder		
Third Holder		

Signature of the Client: ✓ _____
First Holder

✓ _____
Second Holder

✓ _____
Third Holder

CLIENT ACKNOWLEDGEMENT OF DOCUMENTS RECEIVED

To

V Mathran & Co Private Limited

204 Vaishno Chambers

6 Brabourne Road

Kolkata – 700001

Subject: Acknowledgement of Receipt of Account Opening Documents

I/We hereby acknowledge and confirm that:

☐ I/We have received a copy of the duly completed and executed Account Opening Form, including my/our Trading Account Code (UCC) and Demat Account Client ID.

☐ I/We have received, read and understood the following documents, either in physical or electronic form:

- Rights & Obligations Document
- Risk Disclosure Document (RDD)
- Guidance Note
- Policies & Procedures
- Most Important Terms & Conditions (MITC)
- Policy on Voluntary Freezing / Blocking of Trading Account
- Tarriff Sheet
- PMLA Declaration

☐ I/We confirm that the email address and mobile number registered with V Mathran & Co Private Limited are correct and shall be used for regulatory communications, contract notes, statements and alerts.

☐ I/We understand that the above documents are also available on the company's website and may be updated from time to time in accordance with applicable regulatory requirements.

☐ I/We agree to promptly inform V Mathran & Co Private Limited of any change in my/our contact details or other account information.

Client Details

Particulars	Details
Client Name	_____
Trading Account Code (UCC)	_____
Demat Client ID	_____

Client Signature

Signature: ✓ _____

Name: _____

Date: _____