



V MATHRAN & CO PRIVATE LIMITED

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CIN: U67120WB1997PTC086082 GSTIN: 19AABCV6314L2Z0 SEBI Regn No: INZ000200831 & IN-DP-850-2026 MEMBER: NSE, BSE, NSDL

Policy on Pre-Funded Instruments

(Ref: SEBI CIRCULAR CIR/MIRSD/03/2011 Dated June 09,2011)

- The company instructs its office employees to check properly the instrument received from clients before depositing the same into bank.
- If on checking, the instrument is identified as Pay Order/DD, then a suitable reason/classification is required to be obtained from the client.
- The details of the instrument must be tallied with the detail provided by the clients in KYC before entering into back office software.
- In case of mismatch is identified, it should be reported to department head/ management/ key personnel's for taking appropriate action.
- Clients who make the payment through pre-fund instrument will be advised to avoid it or not to use this route by educating them the Exchange and PMLA rules & byelaws in this regard.
- Payment received through Electronic Fund Transfer have to be properly check with the detail available in bank statement like payee account no, payee name etc. and in case of non – availability of the detail in bank statement then it will be asked from the client to confirm the payment detail through e-mail or SMS.
- In addition to above circulars, issued by Exchange from time to time has to be followed for proper compliance on this matter.

By order of the Board of Directors

V Mathran & Co Private Limited